

PARDIWALA SECURITIES PVT. LTD.

MEMBER: BOMBAY STOCK EXCHANGE LTD. (SEBI REG NO. INZ000224933)

4A/EXAMINER PRESS BUILDING ,DALAL STRRET.FORT MUMBAI-400001

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CIN NO – CIN NO.:U67190MH2010PTC204022.

Policy on Pre-Funded Instruments / Electronic Fund Transfers

The objective of the policy is to prevent acceptance of third party funds and to prescribe the process to deal with instruments issued by third party when received. As a part of our internal control policy we are strictly restricting Demand Drafts/Pay Orders/Banker's Cheques for the receipt of funds from the clients to avoid third party pay-in to client accounts.

While receiving funds from the clients against their obligation through pre Order, Demand Draft, Banker's cheque, etc, we ensure to collect statement of the bank account debited for the purpose, duly certified by the issuing bank where the pre than Rs.50000/- per day per client. The mode of certification could be as follows,

- Certificate from the issuing bank on its letterhead or on a plain paper with the seal of the issuing bank.
- Certified copy of the requisition slip (portion which is retained by the bank) to issue the instrument.
- Certified copy of the passbook/bank s
- Authentication of the bank account bank on the reverse of the instrument. Only the Managing Director can give the approval for acceptance pre funded Instruments and only then credit is to be given.